

On König-Egerváry collections of maximum critical independent sets*

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Abstract

Let G be a simple graph with vertex set $V(G)$. A set $S \subseteq V(G)$ is *independent* if no two vertices from S are adjacent. Let $\text{Ind}(G)$ denote the family of all independent sets.

The graph G is said to be *König-Egerváry* if $\alpha(G) + \mu(G) = |V(G)|$, where $\alpha(G)$ denotes the size of a maximum independent set and $\mu(G)$ is the cardinality of a maximum matching. A family $\Gamma \subseteq \text{Ind}(G)$ is a *König-Egerváry collection* if $|\bigcup \Gamma| + |\bigcap \Gamma| = 2\alpha(G)$.

The number $d(X) = |X| - |N(X)|$ is the *difference* of $X \subseteq V(G)$, and a set $A \in \text{Ind}(G)$ is *critical* if $d(A) = \max\{d(I) : I \in \text{Ind}(G)\}$.

In this paper, we show that if the family of all maximum critical independent sets of a graph G is a König-Egerváry collection, then G is a König-Egerváry graph. This result generalizes one of our conjectures verified by Short in 2016.

Keywords: Maximum independent set, critical set, kernel, nucleus, core, corona, diadem, König-Egerváry graph.

Math. Subj. Class.: 05C69, 05C70, 05A20

1 Introduction

Throughout this paper G is a finite simple graph with vertex set $V(G)$ and edge set $E(G)$. If $X \subseteq V(G)$, then $G[X]$ is the subgraph of G induced by X . By $G - W$ we mean either the subgraph $G[V(G) - W]$, if $W \subseteq V(G)$, or the subgraph obtained by deleting the edge set W , for $W \subseteq E(G)$. In either case, we use $G - w$, whenever $W = \{w\}$.

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The *neighborhood* $N(v)$ of a vertex $v \in V(G)$ is the set $\{w : w \in V(G) \text{ and } vw \in E(G)\}$. The *neighborhood* $N(A)$ of $A \subseteq V(G)$ is $\{v \in V(G) : N(v) \cap A \neq \emptyset\}$, and $N[A] = N(A) \cup A$.

A set $S \subseteq V(G)$ is *independent* if no two vertices from S are adjacent, and by $\text{Ind}(G)$ we mean the family of all the independent sets of G . An independent set of maximum size is a *maximum independent set* of G , and $\alpha(G) = \max\{|S| : S \in \text{Ind}(G)\}$.

Let $\Omega(G)$ denote the family of all maximum independent sets,

$$\text{core}(G) = \bigcap \{S : S \in \Omega(G)\} \text{ [12], and } \text{corona}(G) = \bigcup \{S : S \in \Omega(G)\} \text{ [3].}$$

If $A \in \Omega(G[N[A]])$, then A is a *local maximum independent set* of G [13].

A *matching* is a set M of pairwise non-incident edges of G . A matching of maximum cardinality, denoted $\mu(G)$, is a *maximum matching*.

For $X \subseteq V(G)$, the number $|X| - |N(X)|$ is the *difference* of X , denoted $d(X)$. The *critical difference* $d(G)$ is $\max\{d(X) : X \subseteq V(G)\}$. The number $\max\{d(I) : I \in \text{Ind}(G)\}$ is the *critical independence difference* of G , denoted $id(G)$. Clearly, $d(G) \geq id(G)$. It was shown in [23] that $d(G) = id(G)$ holds for every graph G . If A is an independent set in G with $d(X) = id(G)$, then A is a *critical independent set* [23].

Theorem 1.1 ([20]). *Every local maximum independent set is a subset of a maximum independent set.*

Proposition 1.2 ([15]). *Each critical independent set is a local maximum independent set.*

Combining Theorem 1.1 and Proposition 1.2 one can conclude with the following.

Corollary 1.3 ([4]). *Every critical independent set can be enlarged to a maximum independent set.*

For a graph G , let us denote

$$\begin{aligned} \text{ker}(G) &= \bigcap \{A : A \text{ is a critical independent set}\} \text{ [16],} \\ \text{MaxCritIndep}(G) &= \{S : S \text{ is a maximum critical independent set}\}, \\ \text{nucleus}(G) &= \bigcap \text{MaxCritIndep}(G) \text{ [8], and} \\ \text{diadem}(G) &= \bigcup \text{MaxCritIndep}(G) \text{ [18].} \end{aligned}$$

Clearly, $\text{ker}(G) \subseteq \text{nucleus}(G)$ and, by Corollary 1.3, the inclusion $\text{diadem}(G) \subseteq \text{corona}(G)$ is true for each graph G .

Theorem 1.4 ([16]). *For a graph G , the following assertions are true:*

- (i) $\text{ker}(G) \subseteq \text{core}(G)$;
- (ii) *if A and B are critical in G , then $A \cup B$ and $A \cap B$ are critical as well.*

Let us consider the graphs G_1 and G_2 from Figure 1: $\text{core}(G_1) = \{a, b, c, d\}$ and it is a critical set, while $\text{core}(G_2) = \{x, y, z, w\}$ and it is not critical.

Moreover,

$$\text{ker}(G_1) = \{a, b, c\} \subset \text{core}(G_1) \subset \{a, b, c, d, g\} = \text{nucleus}(G_1),$$

as $\text{MaxCritIndep}(G_1) = \{\{a, b, c, d, e, g\}, \{a, b, c, d, f, g\}\}$.

In addition, notice that $\text{diadem}(G_1) \subsetneq \text{corona}(G_1)$.

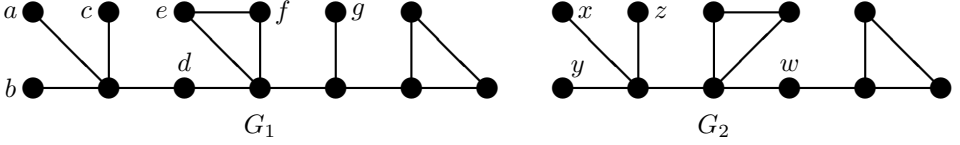


Figure 1: Both G_1 and G_2 are not König-Egerváry graphs.

Theorem 1.5 ([7]). *Let $\emptyset \neq \Gamma \subseteq \Omega(G)$. If $\bigcup \Gamma$ is critical, then $\bigcap \Gamma$ is critical as well.*

It is well known that $\alpha(G) + \mu(G) \leq |V(G)|$ holds for every graph G . Recall that if $\alpha(G) + \mu(G) = |V(G)|$, then G is a König-Egerváry graph [5, 22]. For example, each bipartite graph is a König-Egerváry graph. Various properties of König-Egerváry graphs can be found in [2, 6, 9, 14, 17].

Theorem 1.6 ([11, 15]). *For a graph G , the following assertions are equivalent:*

- (i) G is a König-Egerváry graph;
- (ii) there exists some maximum independent set which is critical;
- (iii) each of its maximum independent sets is critical.

If Γ, Γ' are two collections of sets, we write $\Gamma' \triangleleft \Gamma$ if $\bigcup \Gamma' \subseteq \bigcup \Gamma$ and $\bigcap \Gamma \subseteq \bigcap \Gamma'$ [8]. Clearly, the relation \triangleleft is a preorder. The following theorem extends and generalizes some findings from [19].

Theorem 1.7 ([8]). *Let $\emptyset \neq \Gamma \subseteq \Omega(G)$.*

- (i) *If $\Gamma' \subseteq \text{Ind}(G)$ is such that $\Gamma' \triangleleft \Gamma$, then $|\bigcap \Gamma'| + |\bigcup \Gamma'| \leq |\bigcap \Gamma| + |\bigcup \Gamma|$.*
- (ii) $2\alpha(G) \leq |\bigcap \Gamma| + |\bigcup \Gamma|$.
- (iii) *If, in addition, G is a König-Egerváry graph, then $|\bigcap \Gamma| + |\bigcup \Gamma| = 2\alpha(G)$, and, in particular, $|\text{corona}(G)| + |\text{core}(G)| = 2\alpha(G)$.*

Let us notice that if $S \in \text{Ind}(G)$, then $G[N[S]]$ is not necessarily a König-Egerváry graph. For instance, consider the graph G_1 from Figure 1, and $S_1 = \{d, g\}, S_2 = \{d, e, g\}$. Then, $G_1[N[S_1]]$ is a König-Egerváry graph, while $G_1[N[S_2]]$ is not a König-Egerváry graph.

Theorem 1.8 ([11]). *For every graph G , there is some $X \subseteq V(G)$, such that:*

- (i) $X = N[S]$ for every $S \in \text{MaxCritIndep}(G)$;
- (ii) $G[X]$ is a König-Egerváry graph.

In other words, Theorem 1.8(i) claims that $X = N[S]$ does not depend on the choice of $S \in \text{MaxCritIndep}(G)$. The critical independence number of G is defined as $\alpha'(G) = \max\{|S| : S \in \text{MaxCritIndep}(G)\}$ [11].

Recently, the following conjectures were validated in [21].

Conjecture 1.9 ([8]). *If $|\text{nucleus}(G)| + |\text{diadem}(G)| = 2\alpha(G)$, then G is a König-Egerváry graph.*

Conjecture 1.10 ([7]). *If $|\text{diadem}(G)| = |\text{corona}(G)|$, then G is a König-Egerváry graph.*

Conjecture 1.11 ([7]). $|\text{ker}(G)| + |\text{diadem}(G)| \leq 2\alpha(G)$ for every graph G .

An alternative proof of the inequality $|\text{ker}(G)| + |\text{diadem}(G)| \leq 2\alpha(G)$ may be found in [1].

In this paper, we involve these findings in a more general framework, where they appear as corollaries.

2 Results

Lemma 2.1. *If $S \in \text{MaxCritIndep}(G)$ and $X = N[S]$, then*

$$\text{MaxCritIndep}(G) \triangleleft \Omega(G[X]).$$

Proof. By Proposition 1.2, we get that $\alpha(G[X]) = |S|$. Since, in accordance with Theorem 1.8(i), $X = N[A]$ for each $A \in \text{MaxCritIndep}(G)$, we may conclude that $\text{MaxCritIndep}(G) \subseteq \Omega(G[X])$. Hence, $\text{MaxCritIndep}(G) \triangleleft \Omega(G[X])$. \square

There is a graph G with $\text{MaxCritIndep}(G) \subsetneq \Omega(G[X])$, $S \in \text{MaxCritIndep}(G)$, and $X = N[S]$. For instance, the graph G from Figure 2 has

$$\text{MaxCritIndep}(G) = \{\{a, b, c, d, e, g\}, \{a, b, c, d, f, g\}\}, \quad X = N[\{a, b, c, d, e, g\}],$$

while $\{a, b, c, d, e, k\} \in \Omega(G[X]) - \text{MaxCritIndep}(G)$.

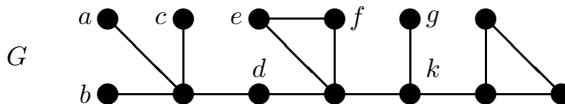


Figure 2: $d(\{a, b, c, d, e, k\}) = 1 < 2 = d(G)$.

Corollary 2.2 ([21]). *If $S \in \text{MaxCritIndep}(G)$ and $X = N[S]$, then*

$$\text{diadem}(G) \subseteq \text{diadem}(G[X]) \quad \text{and} \quad \text{nucleus}(G[X]) \subseteq \text{nucleus}(G).$$

Proof. In accordance with Theorem 1.8(ii), $G[X]$ is a König-Egerváry graph. Hence, Theorem 1.6(iii) implies that $\text{MaxCritIndep}(G[X]) = \Omega(G[X])$. Therefore, Lemma 2.1 ensures that $\text{MaxCritIndep}(G) \triangleleft \text{MaxCritIndep}(G[X])$, which, by definition, means $\text{diadem}(G) \subseteq \text{diadem}(G[X])$ and $\text{nucleus}(G[X]) \subseteq \text{nucleus}(G)$. \square

Lemma 2.3. *If $\emptyset \neq \Gamma' \subseteq \text{MaxCritIndep}(G)$ and $\emptyset \neq \Gamma \subseteq \Omega(G)$, then*

$$\left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| \leq 2\alpha'(G) \leq 2\alpha(G) \leq \left| \bigcap \Gamma \right| + \left| \bigcup \Gamma \right|.$$

Proof. Let $S \in \text{MaxCritIndep}(G)$ and $X = N[S]$. Since $\Gamma' \subseteq \text{MaxCritIndep}(G)$, and, by Lemma 2.1, $\text{MaxCritIndep}(G) \triangleleft \Omega(G[X])$, we get $\Gamma' \triangleleft \Omega(G[X])$. According to Theorem 1.8(ii), $G[X]$ is a König-Egerváry graph. Now, using Theorem 1.7(ii)–(iii), we obtain

$$\begin{aligned} \left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| &\leq |\text{core}(G[X])| + |\text{corona}(G[X])| \\ &= 2\alpha(G[X]) = 2\alpha'(G) \leq 2\alpha(G) \leq \left| \bigcap \Gamma \right| + \left| \bigcup \Gamma \right|, \end{aligned}$$

as claimed. □

If $\Gamma' = \text{MaxCritIndep}(G)$ and $\Gamma = \Omega(G)$, Lemma 2.3 immediately implies the following.

Corollary 2.4 ([21]). $|\text{nucleus}(G)| + |\text{diadem}(G)| \leq 2\alpha(G)$ for every graph G .

Since $\ker(G) \subseteq \text{nucleus}(G)$, Corollary 2.4 validates Conjecture 1.11.

Let us recall that a family of independent sets Γ is a *König-Egerváry collection* if

$$\left| \bigcap \Gamma \right| + \left| \bigcup \Gamma \right| = 2\alpha(G) \text{ [8].}$$

If there exists a König-Egerváry collection $\Gamma \subseteq \Omega(G)$, this does not oblige G to be a König-Egerváry graph. For instance, the graph G from Figure 3 satisfies $|\text{corona}(G)| + |\text{core}(G)| = 2\alpha(G)$, i.e., $\Omega(G)$ is a König-Egerváry collection, while G is not a König-Egerváry graph.

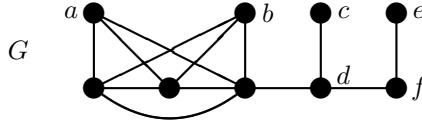


Figure 3: $\text{core}(G) = \{a, b\}$ and $\text{corona}(G) = \{a, b, c, d, e, f\}$.

Theorem 2.5. For a graph G , the following assertions are equivalent:

- (i) G is a König-Egerváry graph;
- (ii) every non-empty family of maximum critical independent sets of G is a König-Egerváry collection;
- (iii) there is a König-Egerváry collection of maximum critical independent sets of G .

Proof. (i) \implies (ii): By Theorem 1.6, we obtain $\text{MaxCritIndep}(G) = \Omega(G)$. Further, in accordance with Theorem 1.7(iii), each $\emptyset \neq \Gamma' \subseteq \text{MaxCritIndep}(G)$ is a König-Egerváry collection.

(ii) \implies (iii): Clear.

(iii) \implies (i): Let $\Gamma' \subseteq \text{MaxCritIndep}(G)$ be a König-Egerváry collection, $S \in \Gamma'$ and $X = N[S]$. Since, by Lemma 2.1, $\text{MaxCritIndep}(G) \triangleleft \Omega(G[X])$, we arrive at the conclusion that $\Gamma' \triangleleft \Omega(G[X])$, and hence,

$$\left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| \leq |\text{nucleus}(G[X])| + |\text{diadem}(G[X])|.$$

According to Theorem 1.8(ii), $G[X]$ is a König-Egerváry graph. Using Theorem 1.7(iii), we infer that

$$2\alpha(G) = \left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| \\ \leq |\text{nucleus}(G[X])| + |\text{diadem}(G[X])| = 2\alpha(G[X]) \leq 2\alpha(G).$$

Consequently, we obtain $\alpha(G[X]) = \alpha(G)$, which ensures that G is a König-Egerváry graph. \square

Since $|\text{nucleus}(G)| + |\text{diadem}(G)| = 2\alpha(G)$ means that $\text{MaxCritIndep}(G)$ is a König-Egerváry collection, Theorem 2.5 immediately implies the following.

Corollary 2.6 ([21]). *If $|\text{nucleus}(G)| + |\text{diadem}(G)| = 2\alpha(G)$, then G is a König-Egerváry graph.*

It is worth mentioning that Corollary 2.6 validates Conjecture 1.9.

If $\emptyset \neq \Gamma \subseteq \Omega(G)$, then none of $\bigcap \Gamma$ and $\bigcup \Gamma$ is necessarily critical. For instance, consider the graph G from Figure 3, and $\Gamma = \{\{a, b, c, e\}, \{a, b, c, f\}\} \subseteq \Omega(G)$.

Lemma 2.7. *Let $\Gamma \subseteq \Omega(G)$ and $\emptyset \neq \Gamma' \subseteq \text{MaxCritIndep}(G)$, be such that for every $A \in \Gamma'$ there exists $S \in \Gamma$ enjoying $A \subseteq S$. If $\bigcap \Gamma$ is a critical set, then the following assertions are true:*

- (i) $\bigcap \Gamma \subseteq \bigcap \Gamma'$;
- (ii) $\Gamma' \triangleleft \Gamma$;
- (iii) $\left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| \leq \left| \bigcap \Gamma \right| + \left| \bigcup \Gamma \right|$;
- (iv) $\bigcap \Gamma' = \bigcap \Gamma$, if, in addition, $\bigcup \Gamma' = \bigcup \Gamma$.

Proof.

- (i) Let $A \in \Gamma'$ and $S \in \Gamma$, such that $A \subseteq S$. Since $\bigcap \Gamma \subseteq S$, it follows that $A \cup \bigcap \Gamma \subseteq S$, and hence, $A \cup \bigcap \Gamma$ is independent. By Theorem 1.4, we get that $A \cup \bigcap \Gamma$ is a critical independent set. Since $A \subseteq A \cup \bigcap \Gamma$ and A is a maximum critical independent set, we infer that $\bigcap \Gamma \subseteq A$. Thus, $\bigcap \Gamma \subseteq A$ for every $A \in \Gamma'$. Therefore, $\bigcap \Gamma \subseteq \bigcap \Gamma'$.
- (ii) By Part (i), we know that $\bigcap \Gamma \subseteq \bigcap \Gamma'$. According to the hypothesis, every element of Γ' is included in some element of Γ . Hence, we deduce that $\bigcup \Gamma' \subseteq \bigcup \Gamma$.
- (iii) The inequality follows from Part (ii) and Theorem 1.7(i).
- (iv) Part (iii) implies $\left| \bigcap \Gamma' \right| \leq \left| \bigcap \Gamma \right|$, and using Part (i), we obtain $\bigcap \Gamma = \bigcap \Gamma'$. \square

Proposition 2.8. *Let $\Gamma \subseteq \Omega(G)$ and $\emptyset \neq \Gamma' \subseteq \text{MaxCritIndep}(G)$ be such that for every $A \in \Gamma'$ there exists $S \in \Gamma$ such that $A \subseteq S$. If $\bigcup \Gamma' = \bigcup \Gamma$, then G is a König-Egerváry graph.*

Proof. Since, by Theorem 1.4(ii), $\bigcup \Gamma'$ is critical, we get that $\bigcup \Gamma$ is critical. Hence, according to Theorem 1.5, we infer that $\bigcap \Gamma$ is critical. Applying Lemma 2.7, we obtain $\bigcap \Gamma = \bigcap \Gamma'$. Further, we have

$$\begin{aligned} 2\alpha(G) &\leq \left| \bigcap \Gamma \right| + \left| \bigcup \Gamma \right| = \left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| \\ &\leq |\text{core}(G[X])| + |\text{corona}(G[X])| = 2\alpha(G[X]) \leq 2\alpha(G). \end{aligned}$$

Consequently, $\left| \bigcap \Gamma' \right| + \left| \bigcup \Gamma' \right| = 2\alpha(G)$, which ensures, by Theorem 2.5, that G is a König-Egerváry graph. \square

If $\Gamma' = \text{MaxCritIndep}(G)$ and $\Gamma = \Omega(G)$, Proposition 2.8 immediately implies the following.

Corollary 2.9 ([21]). *If $\text{diadem}(G) = \text{corona}(G)$, then G is a König-Egerváry graph.*

It is worth mentioning that Corollary 2.9 validates Conjecture 1.10.

3 Conclusions

In this paper we focus on interconnections between unions and intersections of maximum critical independents sets of a graph. In [21], the question arises about polynomial-time complexity of computing the following lower bound for the independence number

$$|\text{nucleus}(G)| + |\text{diadem}(G)| \leq 2\alpha(G).$$

Actually, Lemma 2.3 tells us that $\alpha'(G)$ is a better lower bound, since

$$|\text{nucleus}(G)| + |\text{diadem}(G)| \leq 2\alpha'(G) \leq 2\alpha(G),$$

while $\alpha'(G)$ is polynomially computable [10]. It seems promising to pursue upper bounds for $\alpha(G)$ in terms of $\alpha'(G)$. Let us call G a *k-bounded graph* if $\alpha(G) \leq k \cdot \alpha'(G)$. For instance, König-Egerváry graphs are 1-bounded, in accordance with Theorem 1.6. It is worth emphasizing that the independence number can be computed in polynomial time for König-Egerváry graphs, since in this case $\alpha(G) = \alpha'(G)$.

Proposition 3.1. *If $S \in \text{MaxCritIndep}(G)$, then $2\alpha'(G) = d(\ker(G)) + |N[S]|$.*

Proof. Since $\ker(G)$ and S are critical sets of the graph G , we obtain

$$\begin{aligned} d(\ker(G)) + |N[S]| &= |\ker(G)| - |N(\ker(G))| + |S| + |N(S)| \\ &= |S| - |N(S)| + |S| + |N(S)| = 2|S| = 2\alpha'(G), \end{aligned}$$

which completes the proof. \square

By Theorem 1.6 and Proposition 3.1, if G is a König-Egerváry graph and $S \in \text{MaxCritIndep}(G)$, then we get

$$2\alpha(G) = d(\ker(G)) + |N[S]|,$$

because $\alpha'(G) = \alpha(G)$, and consequently,

$$2\alpha(G) \leq |\ker(G)| + |N[S]|.$$

Proposition 3.2 ([10]). *There is a matching from $N(S)$ into S for every critical independent set S .*

Proposition 3.3. *If $2\alpha(G) \leq |\ker(G)| + |N[S]|$, where $S \in \text{MaxCritIndep}(G)$, then G is $\frac{3}{2}$ -bounded. More precisely,*

$$\alpha'(G) \leq \alpha(G) \leq \alpha'(G) + \frac{|N(\ker(G))|}{2} \leq \frac{3}{2}\alpha'(G).$$

Proof. According to Proposition 3.2, there is a matching from $N(S)$ into S , since S is critical. Hence, $|N[S]| \leq 2\alpha'(G)$. Therefore, taking account that $\ker(G)$ is a critical independent set, we obtain

$$2\alpha(G) \leq |\ker(G)| + |N[S]| \leq |\ker(G)| + 2\alpha'(G) \leq 3\alpha'(G).$$

In accordance with Proposition 3.1, we get

$$|\ker(G)| + |N[S]| - |N(\ker(G))| = 2\alpha'(G) \leq 2\alpha(G).$$

Thus

$$\begin{aligned} \frac{|\ker(G)| + |N[S]|}{2} - \frac{|N(\ker(G))|}{2} &= \alpha'(G) \leq \alpha(G) \\ &\leq \frac{|\ker(G)| + |N[S]|}{2} \\ &= \alpha'(G) + \frac{|N(\ker(G))|}{2} \leq \frac{3}{2}\alpha'(G), \end{aligned}$$

since, $\ker(G)$ is a critical set and, by Proposition 3.2, there exists a matching from $N(\ker(G))$ into $\ker(G)$. \square

Let us emphasize that the bound $\alpha(G) \leq \alpha'(G) + \frac{|N(\ker(G))|}{2}$ is of polynomial-time complexity, since $\ker(G)$ [16] and $\alpha'(G)$ [10] can be computed polynomially.

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